Annexure – B

Investor Complaints Data

M/s Oswal Shares & Securities Ltd.

(NSE: 10631, BSE: 3058, Depositories CDSL: 12044600)

Data for OCTOBER 2026 (Every month ending) :

SN	Received from	Exchange / Depositories	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
							Pending for less than 3 months	Pending for more than 3 months	
1	2		3	4	5	6	7		8
1	Directly from Investors	NSE	0	0	0	0	0		0
		BSE	0	0	0	0	0		0
		Depositories	0	0	0	0	0		0
2	SEBI (SCORES 2.0)	NSE	0	0	0	0	0		0
		BSE	0	0	0	0	0		0
		Depositories	0	0	0	0	0		0
3	Stock Exchanges	NSE	0	0	0	0	0		0
		BSE	0	0	0	0	0		0
		Depositories	0	0	0	0	0		0
4	Other Sources (if any)	NSE	0	0	0	0	0		0
		BSE	0	0	0	0	0		0
		Depositories	0	0	0	0	0		0
5	**Grand Total**	NSE	0	0	0	0	0		0
		BSE	0	0	0	0	0		0
		Depositories	0	0	0	0	0		0

Trend of monthly disposal of complaints

SN	Month	Exchange / Depositories	Carried forward from previous month	Received	Resolved*	Pending**
1	2		3	4	5	6
1	April - 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May - 2026	NSE	0	0	0	0

		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July – 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	August – 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	September - 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	October – 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
8	November - 2026	NSE				
		BSE				
		Depositories				
9	December - 2026	NSE				
		BSE				
		Depositories				
10	January - 2027	NSE				
		BSE				
		Depositories				
11	February - 2027	NSE				
		BSE				
		Depositories				
12	March - 2027	NSE				
		BSE				
		Depositories				
	Grand Total	NSE				
		BSE				
		Depositories				

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Exchange / Depositories	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	2025-2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
3	2026-2027	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
	Grand Total	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0