

## Annexure – B

### Investor Complaints Data

#### M/s Oswal Shares & Securities Ltd.

**(NSE: 10631, BSE: 3058, Depositories CDSL: 12044600)**

Data for **JUNE 2026** (Every month ending) :

S N	Received from	Exchange / Depositories	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
							Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	8	9	
1	Directly from Investors	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
2	SEBI (SCORE S 2.0)	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
3	Stock Exchanges	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
5	<b>Grand Total</b>	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0

#### **Trend of monthly disposal of complaints**

SN	Month	Exchange / Depositories	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6	
1	April - 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May - 2026	NSE	0	0	0	0



# Oswal Shares & Securities Ltd.

NSE/BSE/ASE/DP-CDS/MCX

Member : National Stock Exchange of India Ltd. • Member : The Bombay Stock Exchange Ltd.  
Member : The Ahmedabad Stock Exchange Ltd • Member : Central Depository Services (India) Ltd.

Regd. Off. : 605, Sakar-1, Opp. Nehru Bridge, Ashram Road, Ahmedabad-380 009.

Ph. : (O) 30082040 To 47. Fax : 079-30082048 • kalpeh@oswalshars.com • www.oswalshares.com

		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July – 2026	NSE				
		BSE				
		Depositories				
5	August – 2026	NSE				
		BSE				
		Depositories				
6	September - 2026	NSE				
		BSE				
		Depositories				
7	October – 2026	NSE				
		BSE				
		Depositories				
8	November - 2026	NSE				
		BSE				
		Depositories				
9	December - 2026	NSE				
		BSE				
		Depositories				
10	January - 2027	NSE				
		BSE				
		Depositories				
11	February - 2027	NSE				
		BSE				
		Depositories				
12	March - 2027	NSE				
		BSE				
		Depositories				
	<b>Grand Total</b>	NSE				
		BSE				
		Depositories				

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints



# Oswal Shares & Securities Ltd.

NSE/BSE/ASE/DP-CDS/MCX

Member : National Stock Exchange of India Ltd. • Member : The Bombay Stock Exchange Ltd.  
Member : The Ahmedabad Stock Exchange Ltd • Member : Central Depository Services (India) Ltd.

Regd. Off. : 605, Sakar-1, Opp. Nehru Bridge, Ashram Road, Ahmedabad-380 009.

Ph. : (O) 30082040 To 47. Fax : 079-30082048 • kalpeh@oswalshars.com • www.oswalshares.com

SN	Year	Exchange / Depositories	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	2025-2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
3	2026-2027	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
<b>Grand Total</b>		NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0