



Annexure – B

**Investor Complaints Data**

**M/s Oswal Shares & Securities Ltd.**

**(NSE: 10631, BSE: 3058, Depositories CDSL: 12044600)**

**Data for December 2025 (Every month ending) :**

S N	Received from	Exchange / Depositories	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**	Average Resolution time^ (in days)
1	2	3	4	5	6	7	8	
1	Directly from Investors	NSE	0	0	0	0	0	0
		BSE	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0
2	SEBI (SCORE S 2.0)	NSE	0	0	0	0	0	0
		BSE	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0
3	Stock Exchanges	NSE	0	0	0	0	0	0
		BSE	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0
4	Other Sources (if any)	NSE	0	0	0	0	0	0
		BSE	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0
5	Grand Total	NSE	0	0	0	0	0	0
		BSE	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0

**Trend of monthly disposal of complaints**

SN	Month	Exchange / Depositories	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6	
1	April - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May - 2025	NSE	0	0	0	0



NSE/BSE/ASE/DP-CDS/MCX

**Oswal Shares & Securities Ltd.**Member : National Stock Exchange of India Ltd. • Member : The Bombay Stock Exchange Ltd.  
Member : The Ahmedabad Stock Exchange Ltd. • Member : Central Depository Services (India) Ltd.

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		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	August – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	September - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	October – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
8	November - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
9	December - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
10	January - 2026	NSE				
		BSE				
		Depositories				
11	February - 2026	NSE				
		BSE				
		Depositories				
12	March - 2026	NSE				
		BSE				
		Depositories				
	<b>Grand Total</b>	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**



NSE/BSE/ASE/DP-CDS/MCX

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SN	Year	Exchange / Depositories	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	2025-2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
	<b>Grand Total</b>	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0