

# Oswal Shares & Securities Ltd.

Member: National Stock Exchange of India Ltd. • Member: The Bombay Stock Exchange Ltd. Member: The Ahmedabad Stock Exchange Ltd • Member: Central Depository Services (India) Ltd.

Regd. Off. : 605, Sakar-1, Opp. Nehru Bridge, Ashram Road, Ahmedabad-380 009. Ph. : (O) 30082040 To 47. Fax : 079-30082048 • kalpeh@oswalshars.com • www.oswalshares.com

Annexure – B

## **Investor Complaints Data**

## M/s Oswal Shares & Securities Ltd.

(NSE: 10631, BSE: 3058, Depositories CDSL: 12044600)

### Data for NOVEMBER 2025 (Every month ending):

S N	Receive d from	Exchange / Depositor ies	Carrie d forwar d from previo us month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Averag e Resolut ion time^ (in days)
							Pendin g for less than 3 months	Pending for more than 3 months	
1	2		3	4	5	6		7	8
1	Directly	NSE	0	0	0	0	0		0
	from	BSE	0	0	0	0	(	0	0
	Investors	Depositories	0	0	0	0	(	0	0
2	SEBI	NSE	0	0	0	0		0	0
	(SCORE	BSE	0	0	0	0	(	0	0
	S 2.0)	Depositories	0	0	0	0		0	
3	Stock	NSE	0	0	0	0	0		0
	Exchanges	BSE	0	0	0	0	(	0	0
	Exeriariges	Depositories	0	0	0	0	(	0	0
4	Other	NSE	0	0	0	0	0		0
	Sources	BSE	0	0	0	0	(	0	0
	(if any)	Depositories	0	0	0	0	(	0	0
5	Grand	NSE	0	0	0	0	0		0
	Total	BSE	0	0	0	0	(	0	0
		Depositories	0	0	0	0	(	0	0

#### **Trend of monthly disposal of complaints**

SN	Month	Exchange / Depositories	Carried forward from previous month	Received	Resolved*	Pending**
1	2	2	3	4	5	6
1	April - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May - 2025	NSE	0	0	0	0



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		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	August – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	September - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	October – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
8	November - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
9	December - 2025	NSE				
		BSE				
		Depositories				
10	January - 2026	NSE				
		BSE				
		Depositories				
11	February - 2026	NSE				
		BSE				
		Depositories				
12	March - 2026	NSE				
		BSE				
		Depositories				
	Grand Total	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

#### Trend of annual disposal of complaints

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



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SN	Year	Exchange / Depositories	Carried forward From previous year	Received during the year	Resolved during t he year	Pending at the end of the year
1	2024-2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	2025-2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
	Grand Total	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0