

## Annexure – B

### Investor Complaints Data

M/s Oswal Shares & Securities Ltd.

(NSE: 10631, BSE: 3058, Depositories CDSL: 12044600)

Data for **NOVEMBER 2025** (Every month ending) :

S N	Receive d from	Exchange / Depositor ies	Carrie d forwar d from previo us month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Averag e Resolut ion time^ (in days)
							Pendin g for less than 3 months	Pending for more than 3 months	
1		2	3	4	5	6	7		8
1	Directly from Investors	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
2	SEBI (SCORE S 2.0)	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
3	Stock Exchanges	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
5	<b>Grand Total</b>	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0

### Trend of monthly disposal of complaints

SN	Month	Exchange / Depositories	Carried forward from previous month	Received	Resolved*	Pending**
1		2	3	4	5	6
1	April - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May - 2025	NSE	0	0	0	0

		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	August – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	September - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	October – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
8	November - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
9	December - 2025	NSE				
		BSE				
		Depositories				
10	January - 2026	NSE				
		BSE				
		Depositories				
11	February - 2026	NSE				
		BSE				
		Depositories				
12	March - 2026	NSE				
		BSE				
		Depositories				
	<b>Grand Total</b>	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

SN	Year	Exchange / Depositories	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	2025-2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
	<b>Grand Total</b>	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0