

Annexure – B

Investor Complaints Data

M/s Oswal Shares & Securities Ltd.

(NSE: 10631, BSE: 3058, Depositories CDSL: 12044600)

Data for **SEPTEMBER 2025** (Every month ending) :

S N	Received from	Exchange / Depositories	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
							Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	8	9	
1	Directly from Investors	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
2	SEBI (SCORE S 2.0)	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
3	Stock Exchanges	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0
5	Grand Total	NSE	0	0	0	0	0	0	0
		BSE	0	0	0	0	0	0	0
		Depositories	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

SN	Month	Exchange / Depositories	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6	
1	April -2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May- 2024	NSE	0	0	0	0

		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July- 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	August - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	September - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	October - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
8	November - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
9	December – 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
10	January - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
11	February - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
12	March - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
1	April - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July – 2025	NSE	0	0	0	0

		BSE	0	0	0	0
		Depositories	0	0	0	0
5	August – 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	September - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	October – 2025	NSE				
		BSE				
		Depositories				
8	November - 2025	NSE				
		BSE				
		Depositories				
	Grand Total	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Exchange / Depositories	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-2018	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	2018-2019	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
3	2019-2020	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	2020-2021	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	2021-2022	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	2022-2023	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	2023-2024	NSE	0	0	0	0
		BSE	0	0	0	0



Oswal Shares & Securities Ltd.

Member : National Stock Exchange of India Ltd. • Member : The Bombay Stock Exchange Ltd.

NSE/BSE/ASE/DP-CDS/MCX

Member : The Ahmedabad Stock Exchange Ltd • Member : Central Depository Services (India) Ltd.

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		Depositories	0	0	0	0
8	2024-2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
9	2025-2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
	Grand Total	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0