

## Oswal Shares & Securities Ltd.

Member: National Stock Exchange of India Ltd. Member: The Bombay Stock Exchange Ltd. Member: The Ahmedabad Stock Exchange Ltd Member: Central Depository Services (India) Ltd.

Regd. Off. : 605, Sakar-1, Opp. Nehru Bridge, Ashram Road, Ahmedabad-380 009. Ph. : (O) 30082040 To 47. Fax : 079-30082048 • kalpeh@oswalshars.com • www.oswalshares.com

Annexure - B

### **Investor Complaints Data**

#### M/s Oswal Shares & Securities Ltd.

(NSE: 10631, BSE: 3058, Depositories CDSL: 12044600)

#### Data for MAY 2025 (Every month ending):

S N	Receive d from	Exchange / Depositor ies	Carrie d forwar d from previo us month	Received during the month	Total Pending	Resolved *	Pending end of th	at the e month**	Averag e Resolut ion time^ (in days)
							Pendin g for less than 3 months	Pending for more than 3 months	
1	2		3	4	5	6	7		8
1	Directly	NSE	0	0	0	0	0		0
	from	BSE	0	0	0	0		0	0
	Investors	Depositories	0	0	0	0	1	0	0
2	SEBI	NSE	0	0	0	0		0	0
	(SCORE	BSE	0	0	0	0		0	0
	S 2.0)	Depositories	0	0	0	0	0		0
3	Stock	NSE	0	0	0	0	0		0
	Exchanges	BSE	0	0	0	0	0		0
	_xonangee	Depositories	0	0	0	0	0		0
4	Other	NSE	0	0	0	0	0		0
	Sources	BSE	0	0	0	0		0	0
	(if any)	Depositories	0	0	0	0		0	0
5	Grand	NSE	0	0	0	0	0		0
	Total	BSE	0	0	0	0		0	0
		Depositories	0	0	0	0		0	0

#### **Trend of monthly disposal of complaints**

SN	Month	Exchange / Depositories	Carried forward from previous month	Received	Resolved*	Pending**
1	2		3	4	5	6
1	April -2024 NSE		0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0



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2	May- 2024	NSE	0	0	0	0
	,	BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	July- 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	August - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	September - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
	Ī	Depositories	0	0	0	0
7	October - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
8	November - 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
9	December – 2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
10	January - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
11	February - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
12	March - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
1	April - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	May - 2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
3	June – 2025	NSE				
		BSE				
		Depositories				



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Gra	and Total	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

#### Trend of annual disposal of complaints

SN	Year	Exchange /	Carried forward		Resolved	Pending
		Depositories	From previous	during the	during	
			year	year	t	at
					he year	the end of
					ile yeu.	the year
						-
1	2017-2018	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
2	2018-2019	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
3	2019-2020	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
4	2020-2021	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
5	2021-2022	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
6	2022-2023	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
7	2023-2024	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
8	2024-2025	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
9	2025-2026	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0
	Grand Total	NSE	0	0	0	0
		BSE	0	0	0	0
		Depositories	0	0	0	0

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.