

## Annexure – B

### Investor Complaints Data

#### M/s Oswal Shares & Securities Ltd.

**(BSE: 3058, NSE: 10631, CDSL: 12044600)**

Data for **October 2024** (Every month ending) :

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORE S)	0	0	0	0	0	0	0
3	Depositories / Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	0	0	0	0	0	0	0

#### Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	April -2023	0	0	0	0
2	May- 2023	0	0	0	0
3	June – 2023	0	0	0	0
4	July- 2023	0	0	0	0
5	August - 2023	0	0	0	0
6	September - 2023	0	0	0	0
7	October - 2023	0	0	0	0
8	November - 2023	0	0	0	0
9	December – 2023	0	0	0	0
10	January - 2024	0	0	0	0
11	February - 2024	0	0	0	0
12	March - 2024	0	0	0	0
1	April -2024	0	0	0	0
2	May- 2024	0	0	0	0
3	June – 2024	0	0	0	0
4	July- 2024	0	0	0	0
5	August - 2024	0	0	0	0
6	September - 2024	0	0	0	0
7	October - 2024	0	0	0	0
8	November - 2024				
9	December – 2024				
10	January - 2025				
11	February - 2025				
12	March - 2025				
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

SN	Year	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0
3	2019-2020	0	0	0	0
4	2020-2021	0	0	0	0
5	2021-2022	0	0	0	0
6	2022-2023	0	0	0	0
7	2023-2024	0	0	0	0
8	2024-2025	0	0	0	0
	<b>Grand Total</b>	0	0	0	0