

Annexure – B

Format for Investor Complaints Data

M/s Oswal Shares & Securities Ltd.

(BSE: 3058, NSE: 10631, CDSL: 12044600)

Data for **April 2024** (Every month ending) :

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORE S)	0	0	0	0	0	0	0
3	Depositories / Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	April -2023	0	0	0	0
2	May- 2023	0	0	0	0
3	June – 2023	0	0	0	0
4	July- 2023	0	0	0	0
5	August - 2023	0	0	0	0
6	September - 2023	0	0	0	0
7	October - 2023	0	0	0	0
8	November - 2023	0	0	0	0
9	December – 2023	0	0	0	0
10	January - 2024	0	0	0	0
11	February - 2024	0	0	0	0
12	March - 2024	0	0	0	0
1	April -2024				
2	May- 2024				
3	June – 2024				
4	July- 2024				
5	August - 2024				
6	September - 2024				
7	October - 2024				
8	November - 2024				
9	December – 2024				
10	January - 2025				
11	February - 2025				
12	March - 2025				
	Grand Total	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-2018	0	0	0	0
2	2018-2019	0	0	0	0
3	2019-2020	0	0	0	0
4	2020-2021	0	0	0	0
5	2021-2022	0	0	0	0
6	2022-2023	0	0	0	0
7	2023-2024	0	0	0	0



NSE/BSE/ASE/DP-CDS/MCX

Oswal Shares & Securities Ltd.

Member : National Stock Exchange of India Ltd. • Member : The Bombay Stock Exchange Ltd.
Member : The Ahmedabad Stock Exchange Ltd • Member : Central Depository Services (India) Ltd.

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8	2024-2025	0	0	0	0
	Grand Total	0	0	0	0