

Brief note on Debarred Entities

At the time of Account Opening, we check the details of the clients like Name , PAN etc. on the web-site of SEBI debarred entity list. We make sure before accepting KYC Documents that the person is not in the list of SEBI or other regulatory's Debarred entity list. If the name of the account holder is found in the list of debarred entity or in any of the other regulatory authority, we will not accept the KYC and other documents. And we may inform the same to SEBI or the respective Authorities.

Now, after doing KYC,if the client is not in the list of SEBI or other regulator's Debarred entity list, we open the account of the client and also keep watch on the trading activity of the client.

We do check & compare, on daily basis that any client who trades on our trading platform not comes under the Debarred entity list. So, if any client subsequent to opening the account with us, comes under the debarred entity list, we stop the trading with that client.